# 1 Declaration

In addition to the arrangements made in the Supervision Agreement signed on \_\_\_\_\_\_\_[date],

Ms / Mr / \_\_\*\* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, doctoral candidate,

Prof/PD\* Dr \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, advisor and first TAC[[1]](#footnote-1) member,

\_\_\_\_\_\_\_\_Dr \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, mentor and second TAC member[[2]](#footnote-2) and

\_\_\_\_\_\_\_\_Dr \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, mentor and third TAC member[[3]](#footnote-3)

agree the following:

# 2 Research facilities and infrastructure

In case it is not (solely) up to the advisor to ensure the doctoral candidate appropriate working conditions and/or access to research facilities or infrastructure relevant to carry out the research (cf. Supervision Agreement, No. 3c), further arrangements are made here (please enter the relevant signatory or signatories at the bottom of this document): ­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­

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# 3 The collection, storage and availability of research data

§ 5 (4) of the Faculty’s doctoral regulations[[4]](#footnote-4) states: ‘*If a doctoral project is not to be carried out at the University of Cologne or at an academic institution of a supervisor pursuant to § 14 and if this doctoral project involves the acquisition and analysis of primary data or the generation of sample material, the doctoral project must be registered in accordance with Paragraph 1 before the acquisition and analysis of the primary data and the generation of sample material commences. Furthermore, the accessibility of the primary data and the archiving of these data as well as the availability of the experimental protocols and the sample and experimental material are required for the assessment of the dissertation and for any examination that may take place in accordance with the rules of good scientific practice by the competent body of the University of Cologne’.*

In this context, the following specific arrangements are made:

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# 4 Permission to publish the results of the doctoral research project

All signatories give the doctoral candidate express permission to publish the results of the doctoral research project unrestrictedly as a part of his/her/their doctoral thesis in accordance with the university’s regulations on good scientific practice[[5]](#footnote-5).

Arrangements for possible further publications[[6]](#footnote-6) (e.g. in academic journals) are made as follows:

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# 5 Further arrangements

In this section, further arrangements can be made. For example, the doctoral candidate’s guidance and supervision should be secured as well as possible, should the advisor’s employment situation change.

Arrangements for an alternative formal supervisor in the advisor’s subject (*Promotionsfach*) should be made, if the advisor

* is an independent group leader according to § 14 (5) of the doctoral regulations with a fixed-term contact, AND
* the independent group leader’s contract could end within four year after the signing of this agreement, AND
* no professor of the Faculty of Mathematics and Natural Sciences of the University of Cologne is a member of the doctoral candidate’s TAC.

Arrangements for an alternative formal supervisor in the advisor’s subject (*Promotionsfach*) should further be made, if the advisor will be or has been retired and the advisor’s working lifetime could end within four year after the signing of this agreement AND no further professor of the Faculty of Mathematics and Natural Sciences of the University of Cologne is a member of the doctoral candidate’s TAC. Irrespective of this, advisors can apply for an extension of their right to supervise doctoral candidates (see § 14 (8) of the doctoral regulations).

The following arrangements are made:

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Cologne, \_\_\_\_\_\_\_ / 20\_\_

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Signature, doctoral candidate Signature, Advisor and first TAC member

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| Signature, second TAC member (mentor)2  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Name of the external institution  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Title, first name, last name  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Complete addresse  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
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Signature, third TAC member (mentor)

Further signatories are:

For Section(s) \_\_\_\_\_, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title, name and function in relationship to this agreement

For Section(s) \_\_\_\_\_, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title, name and function in relationship to this agreement

*N.B.: Please save as a PDF-document. The (digitally) signed document must be uploaded by the doctoral candidate in his/her/their Docfile D-folder and the G-folder of the department Graduate School. All signatories must receive a copy.*

1. \* If necessary, an additional non-disclosure agreement can be set up.

   \*\* Delete/complete as appropriate

   TAC: Thesis Advisory Committee, formerly called Doctoral Committee [↑](#footnote-ref-1)
2. Usually the supervising person in the cooperating external institution [↑](#footnote-ref-2)
3. The third TAC member is option; however, see especially Section 5 [↑](#footnote-ref-3)
4. *Promotionsordnung der Mathematisch-Naturwissenschaftlichen Fakultät der Universität zu Köln, Amtliche Mitteilungen 8/2020, 12. März 2020; Translated with www.DeepL.com/Translator (free version)* [↑](#footnote-ref-4)
5. *Satzung der Kommission zur Sicherung guter wissenschaftlicher Praxis der Universität zu Köln, Amtliche*

   *Mitteilungen 132/2020, 19. November 2020* [↑](#footnote-ref-5)
6. A retention period is possible (e.g. 2 years, max. 5 years); the candidate can apply for a provisional allowance to bear the doctoral title. [↑](#footnote-ref-6)